



UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE
United States Patent and Trademark Office
Address: COMMISSIONER FOR PATENTS
P.O. Box 1450
Alexandria, Virginia 22313-1450
www.uspto.gov

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
10/590,301	05/01/2007	John G. Babish	068911-0169	7158

7590 08/18/2008
Simona Levi-Minzi
McDermott Will & Emery
28 State Street
Boston, MA 02109

EXAMINER

KANTAMNENI, SHOBHA

ART UNIT	PAPER NUMBER
----------	--------------

1617

MAIL DATE	DELIVERY MODE
-----------	---------------

08/18/2008

PAPER

Please find below and/or attached an Office communication concerning this application or proceeding.

The time period for reply, if any, is set in the attached communication.

Office Action Summary	Application No. 10/590,301	Applicant(s) BABISH ET AL.	
	Examiner Shobha Kantamneni	Art Unit 1617	

-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address --

Period for Reply

A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) OR THIRTY (30) DAYS, WHICHEVER IS LONGER, FROM THE MAILING DATE OF THIS COMMUNICATION.

- Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.
- If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.
- Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133). Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).

Status

- 1) ☐ Responsive to communication(s) filed on ____.
- 2a) ☐ This action is **FINAL**. 2b) ☒ This action is non-final.
- 3) ☐ Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under *Ex parte Quayle*, 1935 C.D. 11, 453 O.G. 213.

Disposition of Claims

- 4) ☒ Claim(s) 1-7 is/are pending in the application.
4a) Of the above claim(s) ____ is/are withdrawn from consideration.
- 5) ☒ Claim(s) NONE is/are allowed.
- 6) ☒ Claim(s) 1-7 is/are rejected.
- 7) ☐ Claim(s) ____ is/are objected to.
- 8) ☐ Claim(s) ____ are subject to restriction and/or election requirement.

Application Papers

- 9) ☐ The specification is objected to by the Examiner.
- 10) ☐ The drawing(s) filed on ____ is/are: a) ☐ accepted or b) ☐ objected to by the Examiner.
Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).
Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d).
- 11) ☐ The oath or declaration is objected to by the Examiner. Note the attached Office Action or form PTO-152.

Priority under 35 U.S.C. § 119

- 12) ☐ Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).
a) ☐ All b) ☐ Some * c) ☐ None of:
1. ☐ Certified copies of the priority documents have been received.
 2. ☐ Certified copies of the priority documents have been received in Application No. ____.
 3. ☐ Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).

* See the attached detailed Office action for a list of the certified copies not received.

Attachment(s)

- | | |
|---|---|
| 1) <input type="checkbox"/> Notice of References Cited (PTO-892) | 4) <input type="checkbox"/> Interview Summary (PTO-413)
Paper No(s)/Mail Date. ____. |
| 2) <input type="checkbox"/> Notice of Draftsperson's Patent Drawing Review (PTO-948) | 5) <input type="checkbox"/> Notice of Informal Patent Application |
| 3) <input checked="" type="checkbox"/> Information Disclosure Statement(s) (PTO/SB/08)
Paper No(s)/Mail Date <u>08/30/2007</u> . | 6) <input type="checkbox"/> Other: ____. |

DETAILED ACTION

Claims 1-7 are pending and examined herein.

Claim Objections

Claim 7 is objected to because of the following informalities: The recitation "A method FOR" should be "A method for". Appropriate correction is required.

Claim Rejections - 35 USC § 112

The following is a quotation of the second paragraph of 35 U.S.C. 112:

The specification shall conclude with one or more claims particularly pointing out and distinctly claiming the subject matter which the applicant regards as his invention.

Claims 1, and 4 are rejected under 35 U.S.C. 112, second paragraph, as being indefinite for failing to particularly point out and distinctly claim the subject matter which applicant regards as the invention.

The phrases "reduced isoalpa acid" and "isoalpa acid" renders the claim indefinite, as it is not clear what compounds this phrase encompasses, since one of ordinary skill in the art would not ascertain the metes and bounds as to "reduced isoalpa acid" and "isoalpa acid". For, example reduced isoalpa acid can be any di-hydro, tetra-hydro, hexa-hydro isoalpa acid etc. which are obtained by reducing double bonds or carbonyl groups on isoalpa acid.

Note: Applicants insertion of chemical structures for reduced isoalpa acid and isoalpa acid will be favorably considered.

Claims 7 is rejected under 35 U.S.C. 112, second paragraph, as being indefinite for failing to particularly point out and distinctly claim the subject matter which applicant regards as the invention.

1) The phrase "wherein R is alkyl" in claim 7, renders the claim indefinite, as it is not clear what compounds this phrase encompasses, since one of ordinary skill in the art would not ascertain the metes and bounds as to "wherein R is alkyl". For example, alkyl group can be any group C_nH_{2n+1} , wherein n can be any number greater than zero, which renders the claim indefinite.

2) Claim 7 contains the abbreviations RIAA, and IAA in the claim. Where a trademark or trade or abbreviation name is used in a claim as a limitation to identify or describe a particular material or product, the claim does not comply with the requirement of 35 U.S.C. 112, second paragraph. See *Ex parte Simpson*, 218 USPQ 1020 (Bd. App. 1982). The claim scope is uncertain since the abbreviation or trademark or trade name cannot be used properly to identify any particular material or product. A abbreviation or trademark or trade name is used to identify a source of goods, and not the goods themselves. Thus, a abbreviation or trademark or trade name does not identify or describe the goods associated with the trademark or trade name. In the present case, the abbreviation is used to identify/describe particular agent, accordingly, the identification/description is indefinite.

3) Claim 7 recites the limitation "said RIAA and IAA" in the claim. There is insufficient antecedent basis for this limitation in the claim.

Claim Rejections - 35 USC § 102

The following is a quotation of the appropriate paragraphs of 35 U.S.C. 102 that form the basis for the rejections under this section made in this Office action:

A person shall be entitled to a patent unless –

(e) the invention was described in (1) an application for patent, published under section 122(b), by another filed in the United States before the invention by the applicant for patent or (2) a patent granted on an application for patent by another filed in the United States before the invention by the applicant for patent, except that an international application filed under the treaty defined in section 351(a) shall have the effects for purposes of this subsection of an application filed in the United States only if the international application designated the United States and was published under Article 21(2) of such treaty in the English language.

Claims 1-3 are rejected under 35 U.S.C 102(e) as being anticipated by Shahlal et al. (US 6,583,322, PTO-1449).

Shahlal et al. discloses compositions comprising a reduced isoalpa acid (RIAA) and isoalpa acid (IAA). Isoalpa acids include isohumulone, isocohumulone, isoadhumulone, reduced isoalpa acid disclosed therein include dihydro isoalpa acids (DHIA), and hexahydro isoalpa acids ((HHIA). See abstract; FIG.1; FIG.2; column 1, lines 14-24.; lines 60-63; column 4, lines 2-25. It is also disclosed that compositions therein which are mixtures of DHIA, and IAA remained clear liquids at all ratios between about 1 and 99 %, and comprise at least 0.1 % of the composition. See column 18, lines 15-45

Thus, Shahlal et al. anticipates instant claims 1-3.

Claim Rejections - 35 USC § 103

The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:

Art Unit: 1617

- (a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negated by the manner in which the invention was made.

Claims 1-7 are rejected under 35 U.S.C. 103(a) as being unpatentable over Kuhrts (US 2004/0137096, PTO-892).

Kuhrts teaches pharmaceutical compositions comprising hops extract consisting of iso-alpha acids (IAA), and reduced iso-alpha acids (RIAA) such as iso-humulone, isocohumulone, iso-adhumulone, dihydroiso-humulone, dihydroiso-adhumulone of the instant formula (Genus A), and combinations thereof. It is also disclosed that iso-alpha acids which are combinations of reduced isoalpha acid(RIAA) and isoalpha acid(IAA) will be present in an amount of 0.5 % to 10 % by weight in the hops extract. See page 4, paragraph [0027]; page [0031]; page 5, paragraph [0034], Example 1, wherein 3 % of Iso-alpha acids are present in the Hops extract; page 6, claims 1-5, 21-25.

Furthermore Kuhrts teaches the same method of reducing inflammation as instantly claimed, comprising administering Hops extract consisting of Iso-alpha acids and reduced iso-alpha acids such as iso-humulone, iso-cohumolone, iso-adhumolone, dihydroiso-humulone, dihydroiso-adhumolone. See page 5, paragraphs [0035]-[0038] ; page 7, claims 1, 9,13, 21, 25.

Kuhrts does not expressly teach the ratio of reduced isoalpha acid : isoalpha acid as about 3:1 to about 1:10, in the composition.

Kuhrts does not expressly teach that the composition contains at least 0.1 % of RIAA and IAA individually.

It would have been obvious to a person of ordinary skill in the art at the time of invention to determine or optimize parameters such as effective amounts of the reduced isoalpa acid and isoalpa acid employed in the composition of Kuhrts, to obtain a desired effect such as reducing inflammation.

One having ordinary skill in the art at the time the invention was made would have been motivated to determine the effective amounts of Iso-alpha acid and reduced isoalpa acid employed in the pharmaceutical compositions for methods of reducing inflammation in which the ratio of reduced isoalpa acid : isoalpa acid is about 3:1 to about 1:10, since the optimization of effective amounts of known agents to be administered , is considered well in the competence level of an ordinary skilled artisan in pharmaceutical science, involving merely routine skill in the art.

One having ordinary skill in the art at the time the invention was made would have been motivated to determine the effective amounts of Iso-alpha acid (IAA) and reduced isoalpa acid (RIAA) employed in the pharmaceutical compositions for methods of reducing inflammation as 0.1 % of RIAA and 0.1 % of IAA, since the optimization of effective amounts of known agents to be administered, is considered well in the competence level of an ordinary skilled artisan in pharmaceutical science, involving merely routine skill in the art.

It has been held that it is within the skill in the art to select optimal parameters, such as amounts of ingredients, in a composition in order to achieve a beneficial effect. See *In re Boesch*, 205 USPQ 215 (CCPA 1980).

Note: The ratio of RIAA to IAA of the instant claims such as 3:1 to 1:10 as in claim 1; and 10:1 to 1:10 as in claim 7 is broad and might read on the ratio of the prior art composition, hops extract. The individual amounts of RIAA and IAA of the instant claims such as at least 0.1 % of the composition as in independent claims 1, 4, 7, includes any amount between 0.1 % to 99 % which is broad and might read on the prior art composition containing hops extract.

Double Patenting

The nonstatutory double patenting rejection is based on a judicially created doctrine grounded in public policy (a policy reflected in the statute) so as to prevent the unjustified or improper timewise extension of the "right to exclude" granted by a patent and to prevent possible harassment by multiple assignees. See *In re Goodman*, 11 F.3d 1046, 29 USPQ2d 2010 (Fed. Cir. 1993); *In re Longi*, 759 F.2d 887, 225 USPQ 645 (Fed. Cir. 1985); *In re Van Ornum*, 686 F.2d 937, 214 USPQ 761 (CCPA 1982); *In re Vogel*, 422 F.2d 438, 164 USPQ 619 (CCPA 1970); and, *In re Thorington*, 418 F.2d 528, 163 USPQ 644 (CCPA 1969).

A timely filed terminal disclaimer in compliance with 37 CFR 1.321(c) may be used to overcome an actual or provisional rejection based on a nonstatutory double patenting ground provided the conflicting application or patent is shown to be commonly owned with this application. See 37 CFR 1.130(b).

Effective January 1, 1994, a registered attorney or agent of record may sign a terminal disclaimer. A terminal disclaimer signed by the assignee must fully comply with 37 CFR 3.73(b).

Claims 1-7 are provisionally rejected under the judicially created doctrine of obviousness-type double patenting as being unpatentable over claims 4-7 of copending Application No. 10/789,814. Although the conflicting claims are not identical, they are not patentably distinct from each other because the subject matter embraced in the instant claims overlaps with the stated claims of 10/789,814. The instant claimed

Art Unit: 1617

composition is within the scope of the claims of the copending Application 10/789,814. It would have been obvious to a person of ordinary skill in the art at the time of invention to optimize parameters such as the ratio of reduced isoalpa acid : isoalpa acid, to obtain a desired effect.

This is a provisional obviousness-type double patenting rejection because the conflicting claims have not in fact been patented.

Conclusion

Any inquiry concerning this communication or earlier communications from the examiner should be directed to Shobha Kantamneni whose telephone number is 571-272-2930. The examiner can normally be reached on Monday-Friday, 8am-5pm.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Sreeni Padmanabhan, Ph.D can be reached on 571-272-0629. The fax phone number for the organization where this application or proceeding is assigned is 571-273-8300.

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see <http://pair-direct.uspto.gov>. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free).

Shobha Kantamneni, Ph.D
Patent Examiner
Art Unit 1617

Application/Control Number: 10/590,301
Art Unit: 1617

Page 9

/SREENI PADMANABHAN/

Supervisory Patent Examiner, Art Unit 1617